HDFC Bank Investment Services Account

HDFC BANK

Application No.: ____________________

I/ We request you to open an Investment services account to transact in Mutual Funds and link the same to the existing / new Bank account mentioned below: (The holding pattern of Investment Service Account should be similar to the Bank Holding pattern and cannot be changed later)

Name of the bank account holder

Holding Pattern: Single Holding (S) / Either or Survivor (E/S) / Non Individual

1st / sole holder

2nd holder

3rd holder

Karta Name / Guardian Name / Authorized Signatory

	PAN No*	Cust ID*	Date of Birth*
1st / sole holder			
2nd holder			
3rd holder			
Karta Name / Guardian Name / Authorized Signatory			

Email ID*:

(The product offering covers, product information, research reports / statement (if any) which would be sent regularly to this ID)

Email ID belongs to: SE - Self / SP - Spouse / DC - Dependent Children / DS - Dependent Siblings / DP - Dependent Parents / GD - Guardian;

Contact Number *: (R) (O) **(Mobile)**

Mobile No belongs to: SE - Self / SP - Spouse / DC - Dependent Children / DS - Dependent Siblings / DP - Dependent Parents / GD - Guardian;

HDFC Bank Account Number

Bank Account Type: NRE / NRO / Savings / Current

Nomination : "I/We ____ do hereby nominate the person on __/__/____ in respect of the units held by me/us.

	Nomination 1		Nomination 2		Nomination 3	
Name and Address of Nominee						
City / State / Country						
*Date of Birth	D D M M Y Y Y Y	PIN CODE:	D D M M Y Y Y Y	PIN CODE:	D D M M Y Y Y Y	PIN CODE:
*Relation with Applicant:(Refer Pg 2.)		*Allocation %		*Allocation %		*Allocation %
Guardian Name						
Guardian Relationship with minor (Refer Pg.2)						
PAN / Aadhar / DL or Passport / OCI / PIO (for NRI)						
Email Address						
Mobile No.						

*Opt Out Nomination

Declaration

I/ We have read and understood the Terms and Conditions (a copy of which is in my/ our possession) applicable to Investment Services Account. I/ We agree to abide by the same. I/ We declare that the particulars given above are true to the best of my/ our knowledge as on the date of making such applications. I/ We undertake to inform, in writing, of any change in the particulars furnished above. I/ We further agree that any false/ misleading information given by me/ us or suppression of any material fact will render my/ our account liable for termination. I/ We declare that all the details in my/ our relationship record are true and oorrect and any instruction given to you to transact business on my/ our behalf shall be in due conformity with the applicable laws as may for the time being be in force. Any tax implication arising out of any transactions entered in to pursuant to these terms and conditions would be as per the provisions of the Income Tax Act, 1961, or any modification or re-enactment thereof. I/ We agree and declare that any and all tax liability will be my/ our sole responsibility. I/ We shall execute and deliver to the Bank, form time to time such other documents as may be specified by the Bank for compliance or updating of records if any. I/ we have read and understand the terms and conditions applicable to the bank's Investment Services Account and agreed to be bound by the said terms and conditions and including those excluding/limiting your Liability. I/ We agree that the Bank Alc linked to the Investment Services Account will not be closed till the time all my investment holdings are either redeemed or transferred to physical form method. I/ We undertake to make the applicants to the investments aware of the provisions of the terms and conditions and the same will be binding on the applicants by use of the facility provided herein. I/ We will be jointly and severally bound by the terms and conditions of the Bank's Investment Services Account.

Mandate for Investment Services Account

I/ We authorize HDFC Bank Ltd to link the above mentioned HDFC Bank Account/ New account maintained/ being opened by me/ us to the Investment services account and to transfer funds in any form and manner including but not limited to by way of debit/ credit of my/ our account, and issue pay-orders/ demand drafts/ bankers cheque, from my/ our account for the purchase, redemption, switch, receipt of dividends or any such acts for Mutual Fund units in pursuance of the instructions given by me/ us from time to time. I/ We hereby state that all the acts, deeds and things done by the Bank based on such instructions shall be binding on me/ us. This mandate by me/ us is to be adhered to by the Bank in respect of all actions permitted by the RBI and/ or relevant regulations as applicable from time to time.

I/We, the second and third holders, irrevocably constitute the first holder as my/ our agent.

I/ We, the second and third holders agree that the instructions given by the first holder to purchase mutual funds would be funded through the Bank account mentioned herein.

I/ We, the second and third holders agree that the instructions may be given by the first holder in name of the first holder only or first holder jointly with any other persons to the exclusion of second & third holders. The second and the third holders will not raise any objections to the bank acting on such instructions. "I/We hereby confirm that I/We do not wish to appoint nominee(s) for my mutual fund units held in my/out mutual fund folio and understand the issues involved in non -appointment of nominee(s) and further are aware that in cause of death of all the account holder(s), my/our legal heirs would need to submit all the requisite documents as issued by Court or other such competent authority, based on the value of assets held in mutual fund folio" ● Please read the Terms & Conditions applicable to Investment Service Account as available on the website www.hdfcbank.com

Signature of

1st Holder	2nd Holder	3rd Holder

Fields marked with (*) are mandatory All applicants needs to be KYC compliant for opening Investment Services Account. **DL refers to Driving License.

Nominee Relation

AUNT / BROTHER-IN-LAW / BROTHER / DAUGHTER / DAUGHTER-IN-LAW / FATHER / FATHER-IN-LAW / GRAND DAUGHTER / GRAND FATHER / GRAND MOTHER
GRAND SON / MOTHER-IN-LAW / MOTHER / NEPHEW / NIECE / SISTER / SISTER-IN-LAW / SON / SON-IN-LAW / SPOUSE / UNCLE / OTHERS (Please specify)

Guardian Relationship

F-FATHER / M-MOTHER / C-COURT APPOINTED LEGAL GUARDIAN

For Office use

Signature Verified ☐ Yes   Bank Account E/S Account OR Single ☐ Yes   Customer Details Verified ☐ Yes

Net Banking / Phonebanking Activated ☐ Yes (Application for Netbanking/ Phonebanking to be signed by the **First holder**)

RM Name		RM Signature		RM Employee Code	

Primary RM Name:		Primary RM CAMS Code:	
Shadow RM Name:		Shadow RM CAMS Code:	
Branch Name:		Branch Code:	
LC Code (For use by Coex only):		Date of form filing:	D D M M Y Y Y Y

I here by confirm that all the documents have been seen and verified with Originals.

RM Name		RM Signature		RM Employee Code	
BM Name		BM Signature		BM Employee Code	

(To be verified either by RM/ BM or PBG Advisor)

	CHECKLIST	TICK HERE
1	Ensure that------ **NAME/ PAN NO / CUST ID** of all the applicants are mentioned.	
2	In case first applicant is **MINOR---- NAME/ PAN NO / CUST ID** of Gaurdian is mentioned	
3	In case applicant is **HUF---- NAME/ PAN NO / CUST ID** of **Karta** is mentioned	
4	HDFC Bank A/c. No is mentioned----In case of existing Bank Customers	
5	**Signature** of all Bank Account Holders present on the form	
6	Signatures verified----- Tick in Box -YES/NO	
7	Customers details verified----Tick in Box---YES/NO	
8	**RM NAME/ RM SIGNATURE/ RM EMP CODE** is present on the form	
9	**Primary RM CODE/ Shadow RM CODE** (in case of shadow credit) is mentioned	
10	Branch Name/Branch Code of the RM is mentioned	
11	Any alteration on the form is countersigned by all the applicants	
12	Email Id & Contact Numbers of the Customer is mentioned	
13	Application for Netbanking / Phonebanking to be signed by the **First holder** (if not registered)	
14	CVL Print out of KYC verification is attached with ISA form with proper attestion	
15	Debit Mandate attached.	

9864/28.08.2026

(I) The ISA account Holding pattern has to be lines with the Bank account Holding pattern. For example, **For Bank Account with the A, B & C as holders the ISA Holding patterns can be (i) A, B & C....(ii) A & B......(iii) A & C only.** The holding pattern once registered cannot be changed in future.

(ii) **KYC certification for all the holders of the ISA account is mandatory.** Pls attach the KYC certification copies or duly filled KYC application froms for all the proposed holders.

Declaration form for opting out of nomination

ISA Number	
First Holder Name	
Second Holder Name	
Third Holder Namea	

I hereby confirm that I do not wish to appoint any nominee(s) to my demat account/mutual fund folio at this point of time.

I understand that –

(i) the nomination helps to quickly identify the person for transfer of securities and helps in faster and smoother transmission of my securities to my legal heir(s) after my demise.

(ii) in the absence of a nomination, my legal heir(s) may require the submission of certain additional legal or court-issued documents which may delay the process of transmission of securities to my legal heir(s).

(iii) if no claim is made on the account / folio for a prolonged period after my demise, the holdings may be treated as unclaimed assets and they may be transferred to Investor Education and protection Fund Authority (IEPF) in accordance with the applicable regulatory framework.

I confirm that I have understood the above implications and that my decision to opt out of nomination is voluntary.

Name and Signature of Unitholder(s)

Unitholder (1) Signature:________________________ Name: ________________________

Unitholder (2) Signature:________________________ Name: ________________________

Unitholder (3) Signature:________________________ Name: ________________________

CLIENT PROFILER

HDFC BANK

(MUTUAL FUNDS CLIENT RISK PROFILER AND SUITABILITY FORM)

Section A: Client Profiler

Customer ID : ____________________________

Customer Name : 1st Holder ____________________________

: 2nd Holder ____________________________

: 3rd Holder ____________________________

Bank Account Number : ____________________________

Pan No : ____________________________

1.What is your source of funds? (Please tick on any one option)

- ☐ Salaried
- ☐ Business / Self Employed
- ☐ Student/Homemaker/Retired
- ☐ Salaried + Other Income
- ☐ Business + Other Income

2.What is your total Net-worth (excluding primary residence and Net of loans Outstanding)?

- ☐ Below ₹5 lakh
- ☐ ₹5–25 lakh
- ☐ ₹25–50 lakh
- ☐ ₹50 lakh – ₹1 crore
- ☐ Above ₹1 crore

CLIENT RISK PROFILER QUESTIONNAIRES:

Section B: Risk Capacity / Ability to take risk

1.What is your Annual Income? (Please tick on any one option)	Points
☐ Below 5 Lakhs	1
☐ 5 Lakhs to 10 Lakhs	2
☐ 10 Lakhs to 20 Lakhs	3
☐ Above 20 Lakhs	4

2.. Which of the following age brackets you fall in (Please tick on any one option)	Points
☐ < 35 Years	3
☐ 35 Years - 55 Years	4
☐ 55 Years - 70 Years	2
☐ Above 70 Years	1

3.What is your educational qualification (Please tick on any one option)	Points
☐ Undergraduate	1
☐ Graduate	2
☐ Postgraduate	3
☐ Graduate / Postgraduate along with financial experience	4

4.Which answer best describes your investment objective ? (Please tick on any one option)	Points
☐ To meet income needs	1
☐ Long-term capital growth and income	2
☐ Long term capital growth	3
☐ Maximize portfolio growth along with taking considerable risk	4

5.Over what period of time do you expect or need to achieve your Investment Objective? (Please tick on any one option)	Points
☐ Short Term < 1 year	1
☐ Medium Term 1 - 3 years	2
☐ Long Term 3 - 5 years	3
☐ Very Long Term > 5 years	4

Section C: Risk Tolerance

6.Rate your ability to stick with the investment, if the value fluctuates / yield negative returns ? (Please tick on any one option)	Points
☐ Low - Exit Investment	1
☐ Medium - Partially Exit Investment	2
☐ Medium to High - Partially Exit or Hold Investment	3
☐ High - Hold Investments	4

7.What level of risk are you willing to take on your wealth? ((Please tick on any one option)	Points
☐ Low - You favour capital preservation over investment returns	1
☐ Medium - You will expose a smaller portion of the portfolio to higher risk to generate higher returns	2
☐ Medium to High - You will expose substantial portion of the portfolio to higher risk to generate higher returns	3
☐ High - You will expose higher portion of the portfolio to higher risk to generate higher returns	4

Section D: Product Experience

8.What is your level of knowledge regarding financial markets and products?/ For how many years have you been active in the financial markets? (Please tick on any one option)

	Points
☐ No prior experience I prefer safe options	1
☐ Limited experience I understand FDs, Term & Traditional insurance	2
☐ I have some experience on MFs & Equity Market	3
☐ Strong experience with market linked instruments	4

RISK PROFILE :

Based on your answers, calculate the total points to select your risk profile:	**Points**
☐ Risk Averse	8 to 12
☐ Conservative	13 to 17
☐ Moderate	18 to 22
☐ Aggressive	23 to 27
☐ Very Aggressive	28 to 32

Investment Profile	Description
Very Aggressive	Your primary focus is long-term growth, with a willingness to invest up to 100% in high-risk growth assets like equity funds. You are comfortable with high levels of fluctuation for maximum growth.
Aggressive	You aim to increase the value of your investments over the medium to long term. You can tolerate short to medium-term volatility to achieve your long-term investment goals.
Moderate	You aim to achieve a balance between risk and return. With a moderate risk tolerance, you prefer investments with an equal mix of stocks and bonds related funds to attain stability and favorable returns.
Conservative	You aim for higher returns than deposit rates while protecting your invested sum against inflation; and prefer lower risk over the medium term. The investment value can fluctuate and fall below initial investment.
Risk Averse	Your priority is to safeguard your invested sum while aiming for returns similar to those offered on 3-year deposits. It's important to know that these returns may or may not keep pace with inflation.

RISK PROFILE

Your Risk Profile is_______________________________

The ideal asset allocation for each risk profile is as follows:			
	Asset Class		
Risk Profile	**Equity funds**	**Debt Funds**	**Gold/Silver**
Risk Averse	**0%**	**<= 100%**	**<= 5%**
Conservative	**<= 25%**	**>= 70%**	**<= 5%**
Moderate	**<= 55%**	**>= 40%**	**<= 5%**
Aggressive	**<= 75%**	**>= 20%**	**<= 5%**
Very Aggressive	**<= 100%**	**<= 100%**	**<= 5%**

This risk profiling would be valid for 2 years from the date of profiling. Re-assessment can be done before the expiry of the profile and/or in case of any change in the profile or investment objective.

CLIENT DECLARATION

Following has been understood and noted:

- No returns are guaranteed in any investment products.
- HDFC Bank offers you only incidental advice with respect to your investments in Mutual Funds.
- Any investment in non-recommended investment products will be at sole discretion of the client and at his/her own risk.
- HDFC Bank Limited is an AMFI Registered Mutual Fund Distributor (ARN-0005) and SIF Distributor, APMI Registered PMS Distributor

Declaration:
I/We understand that HDFC Bank Relationship Manager (s) are only showcasing investment opportunities to me/us for investments in Mutual Funds. I/We confirm that all decisions to invest in funds will be at my/our behest and I/we shall not hold HDFC Bank responsible for any losses arising from the same. I also understand for products other than Mutual Fund, Insurance & Sovereign Bonds, HDFC Bank Wealth only acts as a referral agent and these products are referred to me/us as per my/our request.

I/We understand that the Mutual Fund related information published by HDFC Bank may be available in the public domain. This information along with information from Mutual Fund AMCs need to be considered as reference material and will only be a guidance tool for me/us to make effective investment decision. I/We hereby confirm that the information provided above is, to the best of my/our knowledge, accurate and complete in all respects. I/We acknowledge that it is my/our responsibility to promptly inform the Bank of any changes to the information provided herein. I/We understand and agree that HDFC Bank, its affiliates, subsidiaries, directors, officers, and employees shall not be held liable or responsible for any losses, damages, or claims arising from or related to my/our investments with the Bank. Furthermore, We would like to inform you that the responses provided in this form help determine your overall Risk Profile for investments through the Bank. However, these responses do not apply to each specific transaction request. The Bank relies on the accuracy of the information provided to assess your risk profile. It is my responsibility to ensure the information remains current.

☐ I confirm that have read and understood above declaration.

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1st/Sole Holders Signature	**2nd Holders Signature**	**3rd Holders Signature**

(For Bank Use Only)

Signature Verified: ☐ **Bank Account E/S Account or Single:** ☐ **Customer Details Verified:** ☐

RM Name:________________________________ RM Signature ___________________RM EMP Code________
(Only AMFI certified RMs with valid EUIN can fill the same)

CLIENT RISK PROFILE AND SUITABILITY

(For Offline Mutual Fund transactions)

(Lumpsum \ SIP)

Sr No	I/We would like to invest in the following scheme/s	Plan/Option	Investment Amt	Recommended \ Non - Recommended	Product Risk Rating	Permissible Risk Rating
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						
14						
15						

Declaration:

I/We agree with my/our risk profile as above and after taking into account the recommended asset allocation relevant to my risk profile, SEBI Risk-O-Meter of the fund and other suggestions mentioned overleaf I/We confirm that: (Tick any one)

☐ **The fund is appropriate for My/Our risk profile (Permissible Risk Rating >= Product Risk Rating)**

☐ **The fund is not appropriate for My/Our risk profile but I would like to still invest on "execution only basis"**
(Permissible Risk Rating < Product Risk Rating OR
No Product Risk Rating assigned as the fund is not part of current Recommended list of HDFC Bank)

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1st/Sole Holders Signature **2nd Holders Signature** **3rd Holders Signature**

1.Product Risk Rating and Recommendations:

				Risk Profile					
No	**Category**	**SEBI Fund category**	**SEBI Riskometer**	**Risk Averse**	**Conservative**	**Moderate**	**Aggressive**	**Very Aggressive**	**Fund score**
1	Debt	Overnight funds	Low Risk	Y	Y	Y	Y	Y	1
9	Hybrid	Arbitrage fund	Low Risk	Y	Y	Y	Y	Y	1
3	Debt	Ultra Short duration	Low to Moderate Risk	Y	Y	Y	Y	Y	1
6	Debt	Floater fund	Low to Moderate Risk	Y	Y	Y	Y	Y	1
2	Debt	Liquid funds	Moderate Risk	Y	Y	Y	Y	Y	2
4	Debt	Low duration	Moderate Risk	Y	Y	Y	Y	Y	2
5	Debt	Money market	Moderate Risk	Y	Y	Y	Y	Y	2
7	Debt	Short duration	Moderate Risk	Y	Y	Y	Y	Y	2
8	Debt	Banking & PSU fund	Moderate Risk	Y	Y	Y	Y	Y	2
10	Debt	Medium duration fund	Moderate Risk	Y	Y	Y	Y	Y	2
11	Debt	Medium to Long duration fund	Moderate Risk	Y	Y	Y	Y	Y	2
12	Debt	Long duration fund	Moderate Risk	Y	Y	Y	Y	Y	2
13	Debt	Dynamic Bond	Moderate Risk	Y	Y	Y	Y	Y	2
14	Debt	Gilt funds	Moderate Risk	Y	Y	Y	Y	Y	2
15	Debt	FMP's	Moderate Risk	Y	Y	Y	Y	Y	2
16	Debt	Corporate Bond fund	Moderate Risk	Y	Y	Y	Y	Y	2
20	Debt	Gilt with 10 year constant duration	Moderate Risk	Y	Y	Y	Y	Y	2
17	Hybrid	Conservative Hybrid fund	Moderately High Risk	N	Y	Y	Y	Y	3
22	Hybrid	Equity Savings fund	Moderately High Risk	N	Y	Y	Y	Y	3
18	Hybrid	Balanced Hybrid	Very High Risk	N	Y	Y	Y	Y	4
19	Hybrid	Aggressive Hybrid fund	Very High Risk	N	Y	Y	Y	Y	4
21	Hybrid	Dynamic Asset Allocation or Balanced Adv fund	Very High Risk	N	Y	Y	Y	Y	4
23	Hybrid	Multi-Asset Allocation	Very High Risk	N	Y	Y	Y	Y	4
24	Equity	Index fiunds/ ETFs	Very High Risk	N	Y	Y	Y	Y	4
25	Equity	Large cap funds	Very High Risk	N	Y	Y	Y	Y	4
26	Equity	ELSS	Very High Risk	N	Y	Y	Y	Y	4
27	Equity	Multi Cap funds	Very High Risk	N	Y	Y	Y	Y	4
28	Equity	Flexicap funds	Very High Risk	N	Y	Y	Y	Y	4
29	Equity	Dividend Yield funds	Very High Risk	N	Y	Y	Y	Y	4
30	Equity	Large & Mid cap funds	Very High Risk	N	Y	Y	Y	Y	4
31	Equity	Value funds	Very High Risk	N	Y	Y	Y	Y	4
32	Equity	Contra funds	Very High Risk	N	Y	Y	Y	Y	4
33	Equity	Focussed funds	Very High Risk	N	Y	Y	Y	Y	4
34	Equity	Solution Oriented: Children's	Very High Risk	N	Y	Y	Y	Y	4
35	Equity	Solution Oriented: Retirement	Very High Risk	N	Y	Y	Y	Y	4
36	Equity	Mid cap funds	Very High Risk	N	Y*	Y	Y	Y	5
37	Equity	Small Cap funds	Very High Risk	N	Y*	Y	Y	Y	5
38	Debt	Credit Risk funds	Moderately High Risk	N	N	N	Y	Y	6
39	Equity	Sectoral/Thematic funds	Very High Risk	N	N	N	Y	Y	6
40	Equity	FOF- Overseas funds	Very High Risk	N	N	N	Y	Y	6
41	SIF-Equity	Equity Long-Short Fund	Very High Risk	N	N	N	Y	Y	6
42	SIF-Equity	Equity Ex-Top 100 Long-Short Fund	Very High Risk	N	N	N	Y	Y	6
43	SIF-Equity	Sector Rotation Long-Short Fund	Very High Risk	N	N	N	Y	Y	6
44	SIF-Debt	Debt Long-Short Fund	Very High Risk	N	N	N	Y	Y	6
45	SIF-Debt	Sectoral Debt Long-Short Fund	Very High Risk	N	N	N	Y	Y	6
46	SIF-Hybrid	Active Asset Allocator Long-Short Fund	Moderately High Risk	N	N	Y	Y	Y	6
47	SIF-Hybrid	Hybrid Long-Short Fund	Moderately High Risk	N	N	Y	Y	Y	6

HDFC MUTUAL FUND
BHAROSA APNO KA

Application Form (Except for ETFs, HDFC Retirement Savings Fund and HDFC Children's Fund)

Investors must read the Key Information Memorandum, the instructions and Product Labeling on page 183 to 186 before completing this Form.
The Application Form should be completed in English and in **BLOCK LETTERS** only.

KEY PARTNER / AGENT INFORMATION (Investors applying under Direct Plan must mention "Direct" in ARN column.) (Refer Instruction 1)

ARN/RIA Code/Stock Broker/ Portfolio Manager Registration Number (PMRN)	ARN/RIA/Portfolio Manager's/ Stock Broker's Name	Sub Agent's ARN	Bank Branch Code	Internal Code for Sub-Agent/ Employee	Employee Unique Identification Number (EUIN)	FOR OFFICE USE ONLY (TIME STAMP)	CAMS bar code
ARN- 0005	HDFC Bank						

EUIN Declaration (only where EUIN box is left blank) (Refer Instruction 1)
I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

SIGN

First/ Sole Applicant/ Guardian/ PoA Holder	Second Applicant	Third Applicant

1. EXISTING UNIT HOLDER INFORMATION (IF YOU HAVE EXISTING FOLIO, PLEASE FILL IN SECTIONS viz. 1, 6, 7, 9 AND 13 ONLY. Refer instruction 2).

Folio No. ☐☐☐☐☐☐☐☐ / ☐☐ **The details in our records under the folio number mentioned alongside will apply for this application.**

2. MODE OF HOLDING [Please tick (✓)]
☐ Single ☐ Joint (Default) ☐ Anyone or Survivor

3. UNIT HOLDER INFORMATION (Refer instruction 3)
DATE OF BIRTH@/DATE OF INCORPORATION D D M M Y Y Y Y Proof of date of birth@ **Please (✓)** ☐ Attached

NAME OF FIRST / SOLE APPLICANT (In case of Minor, there shall be no joint holders) (Name of the unitholder needs to as per PAN records(all unitholder)

Mr. Ms. M/s.

Nationality PAN#/ PEKRN#

KYC Number **KYC # [Please tick (✓)] (Mandatory)** ☐ Proof Attached

NAME OF GUARDIAN (in case of First / Sole Applicant is a Minor) / NAME OF CONTACT PERSON - DESIGNATION (in case of non-individual Investors)

Mr. Ms.

Nationality Designation Contact No.

PAN#/ PEKRN# **DATE OF BIRTH** D D M M Y Y Y Y

KYC Number **KYC # [Please tick (✓)] (Mandatory)** ☐ Proof Attached

Relationship with Minor@ Please (✓) ☐ Father ☐ Mother ☐ Court appointed Legal Guardian Proof of relationship with minor@ Please (✓) ☐ Attached @ Mandatory Refer instruction 4c

CONTACT DETAILS OF FIRST / SOLE APPLICANT Country Code - Mobile

STD Code Res. Telephone No. Office

eAlerts Mobile eDocs Email of First / Sole holder^ IN CAPITALS

This mobile number belongs to (Mandatory Please ✓): ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ POA ☐ Custodian (for FPIs only) ☐ PMS

This email id belongs to (Mandatory Please ✓): ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ POA ☐ Custodian (for FPIs only) ☐ PMS

☐ **I hereby declare that I shall immediately notify any change to the mobile number/ email id. (Refer instruction 8)**

☐ **I/ We would like to register for online access to transact on HDFCMFOnline Investors as per the terms & conditions displayed on website: www.hdfcfund.com (Email id mandatory) (only for non individuals and individuals with mode of holding as 'Joint'). Refer Instruction 11.**

^ On providing email-id investors shall receive the scheme wise annual report or an abridged summary thereof/ account statements/ statutory and other documents by email. However, if the investors wish to receive physical copy of the scheme wise annual report or an abridged summary thereof [Please tick (✓)] Opt-in ☐ (Refer Instruction 8)

MAILING ADDRESS OF FIRST / SOLE APPLICANT (Mandatory) (Refer Instruction 3a)

CITY STATE PIN CODE

OVERSEAS ADDRESS (Mandatory in case of NRIs /FIIs/PIOs/ OCIs) (P. O. Box Address may not be sufficient)

CITY

STATE COUNTRY PIN CODE

KYC Details

Status of First/ Sole Applicant [Please tick (✓)] ☐ **Individual** ☐ **Non - Individual*** ***[Please attach FATCA, CRS & Ultimate Beneficial Ownership (UBO) Self Certification Form] (Mandatory) (Refer Instruction 3 & 17)***

☐ Resident Individual ☐ Partnership ☐ Trust ☐ HUF ☐ AOP ☐ PIO ☐ Pvt. Ltd. Company ☐ Public Ltd. Company ☐ Minor through guardian ☐ BOI ☐ OCI ☐ Body Corporate ☐ LLP

☐ Society/Club ☐ NRI-Repatriation ☐ NRI-Non Repatriation ☐ Foreign National Resident in India ☐ FPI ☐ Sole Proprietorship ☐ Non Profit Organisation ☐ Others (please specify)

LEI No. **Expiry Date:** DD MM YYYY

(Mandatory for Non - Individuals transacting / proposing to transact for an amount of Rs. 50 crores or more)

* Trust/Societies/Section 8 companies to give below declaration

We are a **"Non-Profit Organization" [NPO]** which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).	☐ **YES** ☐ **NO**
If yes, please quote Registration No. of Darpan portal of Niti Aayog. *(If not registered already, please register immediately and confirm with the above information)*	

Occupation Details [Please tick (✓)] ☐ Private Sector Service ☐ Public Sector Service ☐ Government Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Proprietorship ☐ Others (Please specify)

Gross Annual Income in Rs. [Please tick (✓)] ☐ Below 1 lac ☐ 1-5 lac ☐ 5-10 lac ☐ 10-25 lac ☐ 25 lac- 1 cr ☐ > 1 cr

OR Networth in Rs. (Mandatory for Non Individual) as on D D M M Y Y Y Y (not older than 1 year)

For Individual [Please tick (✓)] ☐ I am Politically Exposed Person ☐ I am Related to Politically Exposed Person ☐ Not Applicable

 # Please attach Proof. Refer instruction No 14 for PAN/PEKRN and No 16a for KYC (KRA). Refer instruction No 16b for KYC Identification Number issued by CKYCR.

May 2025

ACKNOWLEDGEMENT SLIP (To be filled in by the Investor) [For any queries please contact our nearest Investor Service Centre or call us at our Customer Service Number 1800 3010 6767 / 1800 419 7676 (Toll Free)]

Date :

HDFC MUTUAL FUND
Head Office : HDFC House, 2nd Floor, H.T. Parekh Marg,165-166, Backbay Reclamation, Churchgate, Mumbai - 400 020.

Received from Mr. / Ms. / M/s.
an application for Purchase of Units of the Scheme(s) alongwith Cheque / Payment Instrument as detailed overleaf.
[For any queries please contact our nearest Investor Service Centre or call us at our Customer Service Number 1800 3010 6767 / 1800 419 7676 (Toll Free)]

ISC Stamp & Signature

e-mail us at: hello@hdfcfund.com or **visit our website: www.hdfcfund.com** **Missed Call Number - +91 85069 36767**

... continued overleaf

4. JOINT APPLICANT DETAILS, If any (Refer instruction 3) (In case of Minor, there shall be no joint holders)

1. NAME OF SECOND APPLICANT **DATE OF BIRTH** D D M M Y Y Y Y

Mr. Ms. M/s.

Nationality PAN#/ PEKRN#

KYC Number **KYC # [Please tick (✓)] (Mandatory)** ☐ Proof Attached

Occupation Details [Please tick (✓)] ☐ Private Sector Service ☐ Public Sector Service ☐ Government Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Proprietorship ☐ Others (Please specify) ____________

Gross Annual Income in Rs. [Please tick (✓)] ☐ Below 1 lac ☐ 1-5 lac ☐ 5-10 lac ☐ 10-25 lac ☐ 25 lac- 1 cr ☐ > 1 cr

For Individual [Please tick (✓)] ☐ I am Politically Exposed Person ☐ I am Related to Politically Exposed Person ☐ Not Applicable

CONTACT DETAILS OF SECOND APPLICANT

eAlerts Mobile eDocs Email of First / Sole holder^ IN CAPITALS

This mobile number belongs to (Mandatory Please ✓): ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ POA ☐ Custodian (for FPIs only) ☐ PMS

This email id belongs to (Mandatory Please ✓): ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ POA ☐ Custodian (for FPIs only) ☐ PMS

2. NAME OF THIRD APPLICANT **DATE OF BIRTH** D D M M Y Y Y Y

Mr. Ms. M/s.

Nationality PAN#/ PEKRN#

KYC Number **KYC # [Please tick (✓)] (Mandatory)** ☐ Proof Attached

Occupation Details [Please tick (✓)] ☐ Private Sector Service ☐ Public Sector Service ☐ Government Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Proprietorship ☐ Others (Please specify) ____________

Gross Annual Income in Rs. [Please tick (✓)] ☐ Below 1 lac ☐ 1-5 lac ☐ 5-10 lac ☐ 10-25 lac ☐ 25 lac- 1 cr ☐ > 1 cr

For Individual [Please tick (✓)] ☐ I am Politically Exposed Person ☐ I am Related to Politically Exposed Person ☐ Not Applicable

CONTACT DETAILS OF THIRD APPLICANT

eAlerts Mobile eDocs Email of First / Sole holder^ IN CAPITALS

This mobile number belongs to (Mandatory Please ✓): ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ POA ☐ Custodian (for FPIs only) ☐ PMS

This email id belongs to (Mandatory Please ✓): ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ POA ☐ Custodian (for FPIs only) ☐ PMS

5. POWER OF ATTORNEY (PoA) HOLDER DETAILS

Name of PoA Mr. Ms. M/s.

PAN#/ PEKRN#

KYC Number **KYC # [Please tick (✓)] (Mandatory)** ☐ Proof Attached

eAlerts Mobile eDocs Email of PoA holder^ IN CAPITALS

 # Please attach Proof. Refer instruction No 14 for PAN/PEKRN and No 16a for KYC (KRA). Refer instruction No 16b for KYC Identification Number issued by CKYCR.

6. FATCA AND CRS INFORMATION (for Individual including Sole Proprietor) (Self Certification) (Refer instruction 3)

Mandatory

The below information is required for all applicant(s)/ guardian

Address Type: ☐ **Residential or Business** ☐ **Residential** ☐ **Business** ☐ **Registered Office (for address mentioned in form/existing address appearing in Folio)**

Category	First Applicant/Guardian in case of Minor	Second Applicant/ Guardian	Third Applicant
Place/ City of Birth			
Country of Birth			
Country of Tax Residency#			

	First Applicant/Guardian in case of Minor	Second Applicant/ Guardian	Third Applicant
Is the applicant(s)/guardian's Country of Birth/Citizenship/ Nationality/Tax Residency other than India? Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below*.	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No

If Yes, please provide the following information [mandatory]

Category	First Applicant/Guardian in case of Minor	Second Applicant/ Guardian	Third Applicant
Tax Payer Ref. ID No^			
Identification Type [TIN or other, please specify]			
Country of Tax Residency 2			
Tax Payer Ref. ID No. 2			
Identification Type [TIN or other, please specify]			
Country of Tax Residency 3			
Tax Payer Ref. ID No. 3			
Identification Type [TIN or other, please specify]			

#To also include USA, where the individual is a citizen/ green card holder of USA. ^In case Tax Identification Number is not available, kindly provide its functional equivalent.

7. UNIT HOLDING OPTION ☐ DEMAT MODE* (Enclose Latest Client Master / Demat Account Statement) ☐ PHYSICAL MODE (Default) (refer instruction 11)

*Demat Account details are mandatory for (i) FPIs and (ii) investors who wish to hold the units in Demat Mode (Account statement (CAS) for units held in demat mode will be issued only by NSDL/CDSL)

NSDL	**DP Name** ____________	**DP ID** I N	**Beneficiary Account No.**
CDSL	**DP Name** ____________		**Beneficiary Account No.**

May 2025

Particulars

Scheme Name / Plan / Option / Sub-option / Payout Option	Cheque / Payment Instrument / UTR No. / Date	Drawn on (Name of Bank and Branch)	Amount in figures (Rs.)

Please Note: All Purchases are subject to realisation of cheques / Payment Instrument.

8. INVESTMENTS & PAYMENT DETAILS [Please (✓)] (refer instruction 5 & 6 for Scheme details and instruction 7 & 9 for Payment Details) The name of the first/ sole applicant must be pre-printed on the cheque.

Sr No.	Name of the Schemes	Plan	Option	Sub-Option for IDCW	Investment Amount (Rupees)
1	HDFC	☐ Regular ☐ Direct	☐ Growth ☐ IDCW ^	☐ Payout ☐ Reinvestment	
2	HDFC	☐ Regular ☐ Direct	☐ Growth ☐ IDCW ^	☐ Payout ☐ Reinvestment	
3	HDFC	☐ Regular ☐ Direct	☐ Growth ☐ IDCW ^	☐ Payout ☐ Reinvestment	
	In case of multiple schemes, Cheque should be drawn in favour of "HDFC MF LUMP SUM COLLECTION A/C" and the cheque amount should match with the total Investment amount mentioned here.			**Total Amount**	

Mode of Payment ☐ Cheque ☐ NEFT/ RTGS/ Fund Transfer ☐ One Time Mandate (OTM)*

Sr No.	Cheque/NEFT/RTGS/UTR no.	Date of Cheque/NEFT/ RTGS/Fund Transfer	Amount of Cheque/ NEFT/RTGS/ Fund Transfer	Drawn on Bank & Branch	Bank Account No. (For Cheque/NEFT/RTGS/OTM)
1					
2					
3					

***Please note that OTM can be selected as mode of payment provided OTM is already registered. In case OTM is not registered please fill in the attached OTM Debit Mandate to make future transactions via OTM. ^The amounts can be distributed out of investor's capital (Equalization Reserve), which is part of sale price that represents realized gains.**

9. BANK ACCOUNT DETAILS OF THE FIRST / SOLE APPLICANT (For redemption/ IDCW Payments if any) (refer instruction 4)
(Mandatory to attach proof, in case the pay-out bank account is different from the bank account mentioned under Section 8 above.)

Mandatory

For unit holders opting to hold units in demat form, please ensure that the bank account linked with the demat account is mentioned here.

Bank Name

Branch Name Bank City

Account Number Account Type (Please ✓) ☐ Savings ☐ Current ☐ NRO ☐ NRE ☐ FCNR ☐ Others ________ (please specify)

IFSC Code*** *** Refer Instruction 5C (Mandatory for Credit via NEFT / RTGS) (11 Character code appearing on your cheque leaf. If you do not find this on your cheque leaf, please check for the same with your bank)

10. MODE OF PAYMENT OF REDEMPTION / IDCW PROCEEDS (refer instruction 9)
The redemption / IDCW proceeds will be directly credited to the investor's bank account.

11. NOMINATION FOR UNITS IN NON-DEMAT MODE Please refer to instruction no. 13 (Nomination is mandatory for all folios held Singly)

☐ I/We wish to make a nomination. [As per details given below] **OR** ☐ I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the implications / issues involved in non-appointment of any nominee(s) and am/ are further aware that in case of my demise / death of all the unit holders in the folio, my / our legal heir(s) would need to submit all the requisite documents issued by the Court or such other competent authority, as may be required by the Mutual Fund / AMC for settlement of death claim / transmission of units in favour of the legal heir(s), based on the value of the units held in the mutual fund folio/s.

I/We wish to make a nomination and do hereby nominate the following person(s) in the above specified folio(s) who shall receive all the assets held in my / our account/ folio in the event of my / our demise, as trustee and on behalf of my/ our legal heir(s)*. This nomination shall supersede any prior nomination made by us/me if any.

Nomination can be made upto three nominees in the account.		Details of 1st Nominee	Details of 2nd Nominee	Details of 3rd Nominee
		Mandatory information		
1	**Name of the nominee(s) (Mr./Ms.)**			
2	**Share of each Nominee#**	%	%	%
3	**Date of Birth (for Minor)**	D D M M Y Y Y Y	D D M M Y Y Y Y	D D M M Y Y Y Y
4	**Relationship with the Applicant (select one)**	☐ Spouse ☐ Father ☐ Mother ☐ Daughter ☐ Son Others ________ (please specify)	☐ Spouse ☐ Father ☐ Mother ☐ Daughter ☐ Son Others ________ (please specify)	☐ Spouse ☐ Father ☐ Mother ☐ Daughter ☐ Son Others ________ (please specify)
5	**Nominee/ Guardian (in case of Minor) Identification details** *[Please tick any one of the following and provide ID Number and no copies required].*	☐ PAN ☐ Aadhaar (masked last 4 digits) **** **** ☐ Passport (for NRIs/OCIs/PIOs) ☐ Driving License	☐ PAN ☐ Aadhaar (masked last 4 digits) **** **** ☐ Passport (for NRIs/OCIs/PIOs) ☐ Driving License	☐ PAN ☐ Aadhaar (masked last 4 digits) **** **** ☐ Passport (for NRIs/OCIs/PIOs) ☐ Driving License
6	**Address of Nominee(s)/ Guardian in case of Minor** [Tick ✓ if same as First Applicant, or provide the complete address if different] City / Place: State & Country	☐ Same as First Applicant **Pincode:**	☐ Same as First Applicant **Pincode:**	☐ Same as First Applicant **Pincode:**

11. NOMINATION FOR UNITS IN NON-DEMAT MODE Please refer to instruction no. 13 (Nomination is mandatory for all folios held Singly) *(Contd...)*

7	**Mobile of nominee(s)/ Guardian in case of Minor**			
8	**Email ID of nominee(s)/ Guardian in case of Minor**			
	Non-mandatory details			
9	**Nominee Guardian Name (in case Nominee is Minor)**			

I / We want the details of my / our nominee to be printed in the statement of holding or statement of account, provided to me/ us by the AMC / DP as follows; (please tick, as appropriate)

☐ Name of nominee(s) with % ☐ Nomination: Yes / No (Default)

This nomination shall supersede any prior nomination made by the account holder(s), if any

Signature(s) – As per mode of holding in demat accounts / MF Folio(s).

	Name of the Holder	Signature / Thumb Impression*
Sole / First Holder (Mr./Ms.)	Name: Witness 1 Name & Address: Witness 2 Name & Address:	Signature /Thumb Impression: Witness 1 Signature: Witness 2 Signature:
Second Holder (Mr./Ms.)	Name: Witness 1 Name & Address: Witness 2 Name & Address:	Signature /Thumb Impression: Witness 1 Signature: Witness 2 Signature:
Third Holder (Mr./Ms.)	Name: Witness 1 Name & Address: Witness 2 Name & Address:	Signature /Thumb Impression: Witness 1 Signature: Witness 2 Signature:

** Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature.*

If % is not specified, then the assets shall be distributed equally among all the nominees. # Any odd lot after division shall be assigned / transferred to the first nominee mentioned in the form.

***Note:** The Intermediary shall provide acknowledgement of the nomination form to the account holder(s)*

12. RESOLUTION OF DISPUTES (For Institutional or corporate clients) (Refer instruction 19)

☐ Smart ODR **OR** ☐ by harnessing any independent institutional mediation, conciliation and/or online arbitration institution in India

13. DECLARATION & SIGNATURE/S (refer instruction 12)

I / We have read, understood the terms and conditions of the scheme related documents and the addendum issued therein till date, Key Information Memorandum of the Schemes as well as the rules and regulations of SEBI, AMFI, Prevention of Money Laundering Act, 2002 and such other regulations as may be applicable to me/us from time to time and agree to comply with the same as a Unitholder. I /We hereby apply to the Trustees for allotment of Units of the Scheme(s) of HDFC Mutual Fund ('Fund') and confirm and declare as under:

(a) I/We am/are eligible Investor(s) as per the scheme related documents and not prohibited from accessing capital markets by any order/ruling / judgment etc. passed by SEBI/ Statutory Authority or Courts in India and Foreign laws. I am/We are authorised to make this investment as per the Constitutive documents/ authorization(s). The amount invested in the Scheme(s) is through legitimate sources only and is not for the purpose of contravention and/or evasion of any act, rules, regulations, notifications or directions issued by any regulatory authority in India.

(b) I / We will be bound by the Fund's terms and conditions as amended from time to time.

(c) The information given by me /us in or along with this application form is true and correct and I/we shall furnish such other further/additional information as may be required by the HDFC Asset Management Company Limited (AMC)/ Fund. I/We undertake to promptly inform the AMC / Fund/Registrars and Transfer Agent (RTA) in writing about any change in the information furnished by me/us from time to time.

(d) I/We hereby authorize you to disclose, share, remit in any form/manner/mode the above information and/or any part of it including the changes/updates that may be provided by me/us to the Fund, its Sponsor/s, Trustees, Asset Management Company, its employees, agents and third party service providers, SEBI registered intermediaries for single updation/ submission, any Indian or foreign statutory, regulatory, judicial, quasijudicial authorities/agencies including but not limited to Financial Intelligence Unit-India (FIU-IND) etc without any intimation/advice to me/us.

(e) I/We hereby consent for providing transactions data feed, portfolio holdings, NAV etc. in respect of my/our transactions under Direct Plan to the RIA/Portfolio Managers/ Stock Broker registered in the concerned folio, if applicable.

(f) I/We shall be liable and responsible for any loss, claims suffered, directly or indirectly by AMC/ Fund/ RTA/ SEBI Intermediaries, arising out of any false, misleading, inaccurate and incomplete information furnished by me/us at the time or investing/redeeming the units. I/We hereby unconditionally and irrevocably indemnify and at all time keep indemnified, save and harmless AMC/Fund/Trustee and their officers, directors and employees against all actions, proceedings, claims, losses, damages, charges and expenses incurred or suffered /paid by AMC/Fund in this regard and in case of any dispute regarding the eligibility, validity and authorization of my/our transactions.

(g) The ARN holder (AMFI registered Distributor) has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

(h) I/WE HEREBY CONFIRM THAT I/WE HAVE NOT BEEN OFFERED/ COMMUNICATED ANY INDICATIVE PORTFOLIO AND/ OR ANY INDICATIVE YIELD BY THE FUND/AMC/ITS DISTRIBUTOR FOR THIS INVESTMENT.

Consent for Telemarketing (Refer Instruction 18):

I/We hereby accord my/our consent to HDFC AMC for receiving the promotional information/ material via email, SMS, telemarketing calls etc. on the mobile number and email provided by me/us in this Application Form.

Consent for disclosure of Personal Information in terms of Privacy Policy

I/We hereby confirm to have read, understood and agree to the terms of Privacy Policy (available on https://www.hdfcfund.com) ("Policy") of HDFC AMC/ Fund.

I/We hereby accord my/our consent to HDFC AMC/Fund for collecting, receiving, possessing, storing, dealing, handling or disclosure of my/ our Personal Data and hereby authorize to disclose it to the third party or another body corporate or any person acting under a lawful contract with HDFC AMC, in accordance with the Privacy Policy.

For Foreign Nationals Resident in India only:

I/We will redeem my/our entire investment/s before I/We change my/our Indian residency status. I/We shall be fully liable for all consequences (including taxation) arising out of the failure to redeem on account of change in residential status.

For NRIs/ PIO/ OCIs/ FPIs only:

I/We confirm that my application is in compliance with applicable Indian and foreign laws.

For NRIs/ PIO/OCIs Please (✓) ☐ Repatriation basis ☐ Non-repatriation basis

SIGN HERE (Please write Application Form No. / Folio No. on the reverse of the Cheque / Payment Instrument.)	First/ Sole Applicant/ Guardian/ PoA Holder	Second Applicant	Third Applicant

May 2025