

**NSDL – TRANSMISSION FORM (For Account without Nominee)**

To
HDFC Bank Limited, Depository Services, Empire Plaza I, 4th Floor, LBS Marg Vikhroli West, Mumbai – 400083

Branch Code: - _____

Branch Inward Date: - _____

I. I/We, the undersigned, being the
☐ Legal Heir (s) ☐ Executor(s) of the will ☐ Administrator(s) of the Estate ☐ Successor(s) to the Estate
of Mr./Mrs./Ms. _____ Mr./Mrs./Ms. _____
_____ and Mr./Mrs./Ms. _____
_____, the deceased of which Succession
Certificate/ Probate of Will/ Will/ Letter of Administration/ Court Decree as may be applicable in terms of the Indian Succession
Act, 1925 or Legal Heirship Certificate (or its equivalent Certificate) issued by competent Government Authority, was duly
granted / issued to me / us on the _____ day of _____ of _____ hereby request you to register me/us as
the beneficial owner(s) in respect of the securities standing in the name of the said deceased under Client ID _____ DP
ID _____.

II. I/We give hereunder the details of my/our account with a Participant to which the security balances are requested to be transmitted:

Sr No	Name	Client ID	DP ID
1			
2			
3			
4			

III. List of Documents to be submitted is provided on the reverse page:

IV. Declaration

(Applicable only for legal heir(s)/ claimant(s) where deceased was a sole holder and no nomination or simultaneous passing away of joint holders without nomination in the account or simultaneous passing away of joint holders without nomination - Value of holding exceeding / not exceeding Rs. 15,00,000 (Rs. Fifteen Lakhs) as on the date of application))

We declare that the below mentioned person(s) are the only legal heir(s) /claimant(s) of the deceased and there are no other legal heir(s)/claimant(s). Of these, as specified below, some/all of them are legal heir(s) / non-claimant(s) and some of them have given a No Objection Certificate relinquishing their rights on the securities held in the aforesaid Beneficial Owner account in favour of other legal heir(s)/claimant(s). Details are given below.

Sr. No.	Name of the legal heir(s)	Specify whether a legal heir(s) / claimant(s) given a No Objection Certificate
1		
2		
3		
4		

V. Signatures

Sr No	Name of claimant(s)	Signature of claimant(s)	Version No 4.0 (202607)
1			
2			

3			
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List of Documents to be enclosed:

Sr No	Documents required for transmission	Value of Securities Less than Rs.15 Lakhs	Value of Securities More than Rs.15 Lakhs
1	Duly signed transmission request form by the legal heir(s)/claimant(s)	Required	Required
2	Self-attested copy of death certificate attested by the Nominee(s) subject to verification with the original or death certificate downloaded from the online portal of Government carrying digital/facsimile signature of the issuing authority;	Required	Required
3	Client Master Report of the Target account duly attested by staff of depository participant, in case the account of the legal heir(s) / claimant(s) is not with the HDFC Bank Limited	Required	Required
4	Self-attested copy of PAN card of the legal heir(s) / claimant(s)	Required	Required
5	Notarized affidavit, as per format (Refer Annexure A) from All legal heir(s)/ claimants to be obtained separately made on non-judicial stamp paper of appropriate value, to the effect of identification and claim of legal ownership to the securities; However, in case the legal heir(s)/claimant(s) are named in any of the Succession Certificate or Probate of Will or Will or Letter of Administration as may be applicable in terms of Indian Succession Act, 1925 (39 of 1925), or Legal Heirship Certificate or its equivalent certificate issued by a competent Government Authority, an affidavit from such legal heir(s)/claimant(s) alone shall be sufficient.	Required	Required
6	Notarized indemnity bond made on non-judicial stamp paper of appropriate value, indemnifying the Bank and NSDL (Refer Annexure B)	Required	Not Applicable
7	No Objection certificate from all legal heirs(s) (Refer Annexure C), or copy of family settlement deed executed by all the legal heirs, duly attested by a notary public or by a gazetted officer	Required	Not Applicable
8	Copy of any of the following documents attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a Gazetted officer - Succession Certificate (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s) or - Copy of Letter of Administration (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s) or - Copy of Court Decree (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s) or - Copy of Probate of Will* (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a gazetted officer; *Probate of Will shall be only insisted on, if applicable in terms of Indian Succession Act, 1925 - Copy of Will** (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), accompanied with a notarised Indemnity Bond made on non-judicial stamp paper of appropriate value from the legal heir(s) / claimant(s) to whom the securities are transmitted (Refer Annexure B) or ** Will duly executed in terms of Indian Succession Act, 1925 - Copy of Legal Heirship Certificate or its equivalent certificate issued by a Competent Government Authority accompanied with a notarised Indemnity Bond made on non-judicial stamp paper of appropriate value duly notarised from the legal heir(s) / claimant(s) to whom the securities are transmitted (Refer Annexure B) and No objection from all the legal heir(s) / non-claimant(s) stating that they have relinquished their rights to the claim for transmission of securities made on	Optional	Required



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	non-judicial stamp paper of appropriate value duly attested by a notary public or by a gazetted officer (Refer Annexure C)		
9	In case the claimant/legal heir is a minor, Copy of Birth Certificate	As applicable	As applicable
10	In case of claimant being a minor / of unsound mind, KYC of the Claimant Guardian.	As applicable	As applicable

Acknowledgement Receipt

Date: DD/MM/YYYY

We hereby acknowledge the receipt of your request for transmission in following Demat account (subject to verification)

HDFC Bank DP ID:

Client ID:

Date:

Seal & Signature of DP